



#5 / Amt + B  
T.H. B et al B-  
1/20/04

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

*AMENDMENT IN RESPONSE TO NOTICE OF NON-COMPLIANT  
AMENDMENT*

<b>Applicant:</b>	<b>Blais</b>	<b>Docket No.:</b>	<b>ROC920000095US1</b>
<b>Serial No.:</b>	<b>09/657,501</b>	<b>Group Art Unit:</b>	<b>2126</b>
<b>Filed:</b>	<b>09/08/00</b>	<b>Examiner:</b>	<b>HOANG, PHUONG N.</b>

TITLE: METHOD AND APPARATUS FOR CREATING AND ENFORCING  
PROTECTED SYSTEM LEVEL JAVA CODE

**Mail Stop NON-FEE AMENDMENT**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

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JAN 14 2004

Technology Center 2100

Sir:

In response to the Notice of Non-Compliant Amendment dated 12/17/03, applicant hereby submits the amendment to the specification in compliant form.

## IN THE SPECIFICATION

Please delete the paragraph from p. 4 line 2 to p. 5 line 7, and substitute therefor:

The preferred exemplary embodiments of the present invention will hereinafter be described in conjunction with the appended drawings, where like designations denote like elements, and:

FIG. 1 is a block diagram of a prior art apparatus showing a prior art method for protecting system level code from unauthorized access at runtime;

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2126

Amendment Transmittal

Applicant: Blais  
Serial No.: 09/657,501  
Filed: 09/08/00



Docket No.: ROC920000095US1  
Group Art Unit: 2126  
Examiner: HOANG, PHUONG  
N.

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Sir:

Transmitted herewith for filing is an Amendment in Response to Notice of Non-Compliant Amendment in the above-identified Application.

☒ No additional Fee is Due.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0465 for IBM Corporation.

☒ Any additional filing fees required under 37 C.F.R. §1.16.

☒ Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,

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By Derek P. Martin  
Derek P. Martin  
Registration No.: 36,595

I HEREBY CERTIFY THAT, ON THE DATE SHOWN BELOW, THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE, POSTAGE PAID, AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP NON-FEE AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ARLINGTON, VA 22313-1450

DATE: January 6, 2004

SIGNED: Derek P. Martin